



ABSTRACT OF DOCTORAL THESIS

TSIMOURIS DIMITRIOS

TITLE:

"The effect of mobility techniques on the mobility of the diaphragm in people with asthma"

Introduction: The respiratory muscles work uninterruptedly throughout our lives¹. The diaphragm, which is the most important muscle of respiration due to its anatomical uniqueness and contribution to minute ventilation (60%-80%), generates a craniocaudal movement of its dome during contraction^{2,3}. The physiological diaphragmatic function prevents medical problems related to venous drainage, lymphatic flow, poor posture, neck and pelvic function^{1,2}. In contrast, abnormal diaphragm function is associated with respiratory symptoms such as shortness of breath, intolerance to exercise, sleep disturbances and hypersomnia. In even more severe cases, it can have a negative impact on survival⁴.

According to the literature, patients with Chronic Obstructive Pulmonary Disease (COPD) or Asthma often show diaphragmatic dysfunction^{2, 5}. This dysfunction is related to the mechanical linkage between its various parts, thereby placing it at a mechanical disadvantage to the muscle fibers. This pathological change affects the diaphragm's ability to raise and expand the lower rib cage (apposition zone-area in which the lower rib cage and the diaphragm are in direct contact). These changes cause an increase in the work of breathing and diaphragmatic weakness⁶.

In COPD, due to the obstruction of the airways, the air is gradually trapped in the pulmonary parenchyma during the exhalation phase, resulting in pulmonary hypertension during exercise and at rest⁷. This clinical condition disrupts the architecture of the thoracic cage, significantly reduces the physiological advantage of the diaphragm resulting in the movement of the upper thorax to contribute more during respiration⁷. The mobility of the diaphragm in inhalation is reduced due to its reduced length, its lower position in the thoracic cage, and the reduced application zone, thus significantly increasing the work of respiration⁸. Similarly, in patients with moderate or severe asthma, restricted expiratory flow (early airway closure), activation of the inspiratory muscles at the end of expiration, and decreased lung flexibility may lead to

pulmonary overstretching, causing functional abnormalities⁹. Patients with COPD whose normal function of the respiratory muscles has been affected show an abnormal respiratory pattern, shortness of breath, and reduced ability to exercise and perform daily activities. Hyperventilation syndrome in asthma and pulmonary hyperinflation in COPD, although triggered by different mechanisms, provoke common pathological changes^{10,11,12} and affect the diaphragm's ability to raise and expand the lower rib cage. This may lead to a decrease in the transverse diameter of the lower ribcage during inspiration^{13,14}.

In the last decades, the main research and clinical question is by which physiotherapy techniques we can improve the effectiveness of respiratory muscles during respiration. For this reason, several physiotherapy techniques can be used. Breathing retraining is a worldwide acceptable and effective therapy for improving its operation^{15,16,17}.

It is an easy and safe technique, without cost and widespread use in the clinical environment. Respiratory model teaching techniques have high documentation of effectiveness and are applied as a primary physiotherapy intervention in pulmonary rehabilitation^{18, 19, 20}. In addition, the use of specific diaphragm mobilization techniques, although they do not yet have strong research documentation, according to recently published studies, their positive effect is reported in patients with COPD²¹. These effects concern the increase of the mobility of the chest wall, the increase of the mobility of the diaphragm, the increase of the inspiratory force, the capacity for exercise, and the function of the lungs^{22, 23}.

The effectiveness of specific diaphragm mobilization techniques has been studied in COPD^{21, 26}, but pediatric asthma²⁷ has not been studied in adult asthma. In addition, their effect in combination with standard respiratory pattern retraining techniques has not been investigated. The present study hypothesizes that the combination of the mentioned physiotherapy techniques will contribute positively to the length-tension relationship and mobility of the diaphragm, the chest mobility and ultimately functionality, in the feeling of shortness of breath and the psychology of patients with asthma. Therefore, new studies are needed to combine these new rehabilitation applications to promote valid, reliable, and objective results. The amplification of the above in patients with asthma using respiratory standards and the application of special diaphragm mobilization techniques will contribute to their better rehabilitation management.

Purpose: This study aims to examine the effect of the combination of diaphragm mobilization techniques and respiratory pattern retraining exercises in conjunction with the individual respiratory pattern retraining in people with moderate to severe asthma.

Participants:Patients will participate in the study after being informed and writing their consent for voluntary participation.

Main Outcomes: Diaphragm Excursion measured by ultrasonography²⁸, Chest Expansion measured by inch tape²⁹, Maximum Respiratory PressuresPimax- Pemax (MICRO RPM)^{30,31}Dyspnoea scale measured by the Medical Research Council (MRC) modified^{32,33}, the functional capacity (6MWT, SF12, ACT)^{34,35,36,37,38} and psychology (HADS)^{39,40}.

Intervention: The study will be performed in people with moderate to severe asthma who will be community-based. The participants will be divided into two experimental intervention groups(A and B). Intervention group A will receive diaphragm mobilization techniques and breathing retraining exercises, while intervention group B will only receive breathing retraining exercises.Patients will have two sessions per week for one month. The participants will also be asked to attend two follow-up sessions after the end of the experiment. These will occur one and two months after the end of the experiment, respectively.

Statistical Analysis: The statistical analysis program 'Statistical Package for the Social Sciences (SPSS) will be used for all analyses. The level of significance α will be set to 0.05.

Expected research results:The ultimate goal of this work is to inform the clinical practice for the best and most complete treatment of diaphragmatic dysfunction that occurs in obstructive diseases such as asthma. The expected research results are the improvement of the mobility and the length-tension relationship amic relationship of the diaphragm, the chest development, and consequently the dyspnea and the functionality of the patients. The effect of the rehabilitation techniques selected in the study will be tested with various measurements and at a relative depth of 2 months after the end of the therapeutic interventions.

References:

1. Laura K. Nason, Christopher M. Walker, Michael F. Mc Neeley, WanapornBurivong, Corinne L. Fligner, J. David Godwin. Imaging of the Diaphragm: Anatomy and Function, Radiographics. Mar-Apr 2012;32(2):E51-70, doi: 10.1148/rg.322115127.

2.J. Ricoya, N. Rodríguez-Núnez, J.M. Álvarez-Dobano, M.E. Toubes, V. Riveiroa, L.Valdés.Diaphragmaticdysfunction.Pulmonol.2018.https://doi.org/10.1016/j.pulmoe.2018.10.008

3. AnatRatnovsky, David Elad. Anatomical model of the human trunk for analysis of respiratory muscles mechanics. Respir PhysiolNeurobiol. 2005 Oct 12;148(3):245-62. doi: 10.1016/j.resp.2004.12.016.

4. Gibson GJ. Diaphragmatic paresis: pathophysiology, clinical features, and investigation. Thorax. 1989;44:960---70.

5. Flávia Roberta Rocha, Ana Karla Vieira Brüggemann, Davi de Souza Francisco, Caroline Semprebom de Medeiros, Danielle Rosal, Elaine Paulin. Diaphragmatic mobility: relationship with lung function, respiratory muscle strength, dyspnea, and physical activity in daily life in patients with COPD. J Bras Pneumol. 2017;43(1):32-37

6. Sarah M. Greising, Coen A.C. Ottenheijm, Ken D. O'Halloran, Esther Barreiro. Diaphragm Plasticity in Aging and Disease: Therapies for Muscle Weakness go from Strength to Strength, 2018 American Physiological Society. PMCID: PMC6139508, DOI: 10.1152/japplphysiol.01059.2017

7. M Decramer. Hyperinflation and respiratory muscle interaction. Eur Respir J. 1997 Apr;10(4):934-41.

8. A De Troyer. Effect of hyperinflation on the diaphragm. Eur Respir J. 1997 Mar;10(3):708-13.

9. Rachel Garrod, Toby Lasserson. Role of physiotherapy in the management of chronic lung diseases: an overview of systematic reviews. Respir Med. 2007 Dec;101(12):2429-36. doi: 10.1016/j.rmed.2007.06.007.

10. M Mergoni , A Rossi. [Physiopathology of acute respiratory failure in COPD and asthma]. Minerva Anestesiol. 2001 Apr;67(4):198-205.

11. J. Yayan and K. Rasche. Asthma and COPD: Similarities and Differences in the Pathophysiology, Diagnosis and Therapy. edicine, Biology - Neuroscience and Respiration DOI 10.1007/5584_2015_206

12. T Mauad, M Dolhnikoff. Pathologic similarities and differences between asthma and chronic obstructive pulmonary disease. 2008. CurrOpinPulm Med 14:31–38

13. Silva IS, Fregonezi GAF, Dias FAL, Ribeiro CTD, Guerra RO, Ferreira GMH. Inspiratory muscle training for asthma. Cochrane Database of Systematic Reviews 2013, Issue Art. No.: CD003792. DOI: 10.1002/14651858.CD003792.pub2.

14. Dan Adler Jean-Paul Janssens. The Pathophysiology of Respiratory Failure: Control of Breathing, Respiratory Load, and Muscle Capacity. Respiration 2019;97:93–104, DOI: 10.1159/000494063

15. Thayla A Santino , Gabriela Ss Chaves , Diana A Freitas , Guilherme AfFregonezi , Karla MppMendonça. Breathing exercises for adults with asthma. Cochrane Database Syst Rev. 2020 Mar 25;3(3):CD001277. doi: 10.1002/14651858.CD001277.pub4.

16. Yufan Lu, Peijun Li, Ning Li, Zhengrong Wang, Jian Li, Xiaodan Liu, Weibing Wu, Effects of Home-Based Breathing Exercises in Subjects With COPD. Respir Care. 2020 Mar;65(3):377-387. doi: 10.4187/respcare.07121. Epub 2019 Nov 12.

17. Anamaria FleigMayer , Manuela Karloh , Karoliny Dos Santos, Cintia Laura Pereira de Araujo, Aline Almeida Gulart. Effects of acute use of pursed-lips breathing during exercise in patients with COPD: a systematic review and meta-analysis. Physiotherapy. 2018 Mar;104(1):9-17. doi: 10.1016/j.physio.2017.08.007. Epub 2017 Aug 31.

18. Grammatopoulou, E. P., Skordilis, E. K., Stavrou, N., Myrianthefs, P., Karteroliotis, K., Baltopoulos, G., &Koutsouki, D. (2011). The Effect of Physiotherapy-Based Breathing Retraining on Asthma Control. Journal of Asthma, 48(6), 593–601. doi:10.3109/02770903.2011.587583

19. N Ubolnuar , A Tantisuwat , P Thaveeratitham , S Lertmaharit , C Kruapanich , W Mathiyakom. Effects of Breathing Exercises in Patients With Chronic Obstructive Pulmonary Disease: Systematic Review and Meta-Analysis. Ann RehabilMed. 2019 Aug;43(4):509-523.doi: 10.5535/arm.2019.43.4.509. Epub 2019 Aug 31.

20. Borge, C. R., Hagen, K. B., Mengshoel, A. M., Omenaas, E., Moum, T., & Wahl, A. K. (2014). Effects of controlled breathing exercises and respiratory muscle training in people with chronic obstructive pulmonary disease: results from evaluating the quality of evidence in systematic reviews. BMC Pulmonary Medicine, 14(1). doi:10.1186/1471-2466-14-184

21. Nair, A., Alaparthi, G. K., Krishnan, S., Rai, S., Anand, R., Acharya, V., & Acharya, P. (2019). Comparison of Diaphragmatic Stretch Technique and Manual Diaphragm Release Technique on Diaphragmatic Excursion in Chronic Obstructive Pulmonary Disease: A Randomized Crossover Trial. Pulmonary Medicine, 2019, 1–7. doi:10.1155/2019/6364376

22. Rocha, T., Souza, H., Brandão, D. C., Rattes, C., Ribeiro, L., Campos, S. L., ... de Andrade, A. D. (2015). The Manual Diaphragm Release Technique improves diaphragmatic mobility, inspiratory capacity and exercise capacity in people with chronic obstructive pulmonary disease: a randomised trial. Journal of Physiotherapy, 61(4), 182–189. doi:10.1016/j.jphys.2015.08.009 23. Yilmaz Yelvar, G. D., Cirak, Y., Parlak Demir, Y., Dalkilinc, M., & Bozkurt, B. (2016). Immediate effect of manual therapy on respiratory functions and inspiratory muscle strength in patients with COPD. International Journal of Chronic Obstructive Pulmonary Disease, 1353. doi:10.2147/copd.s107408

24. F. Kakizaki, M. Shibuya, T. Yamazaki, M. Yamada, H. Suzuki, and I. Homma, "Preliminary Report of the Efects of Respiratory Muscle Stretch Gymnastics on Chest Wall Mobility in Patients With Chronic Obstructive Pulmonary Disease," Journal of Cardiopulmonary

25. D. R. Noll, B. F. Degenhardt, J. C. Johnson, and S. A. Burt, "Immediate efects of osteopathic manipulative treatment in elderly patients with chronic obstructive pulmonary disease," Te Journal of the American Osteopathic Association, vol. 108, no. 5, article 251e9, 2008.

26. D. R. Noll, J. C. Johnson, R. W. Baer, and E. J. Snider, "Te immediate efect of individual manipulation techniques on pulmonary function measures in persons with chronic obstructive pulmonary disease," Osteopathic Medicine and Primary Care, vol. 3, no. 9, pp. 1–12, 2009.

27. Elnaggar, R. K., Shendy, M. A., & Mahmoud, M. Z. (2019). Prospective Effects of Manual Diaphragmatic Release and Thoracic Lymphatic Pumping in Childhood Asthma. Respiratory Care, respcare.06716. doi:10.4187/respcare.06716

28. Vetrugno, L., Guadagnin, G. M., Barbariol, F., Langiano, N., Zangrillo, A., & Bove, T. (2019). ULTRASOUND IMAGING FOR DIAPHRAGM DYSFUNCTION: A NARRATIVE LITERATURE REVIEW. Journal of Cardiothoracic and Vascular Anesthesia. doi:10.1053/j.jvca.2019.01.003

29. M. F. Ols'en, H. Lindstrand, J. L. Broberg, and E. Westerdahl, "Measuring chest expansion; A study comparing two diferent instructions," Advances in Physiotherapy, vol. 13, no. 3, pp. 128–132, 2011

30. ATS/ERS Statement on Respiratory Muscle Testing. (2002). American Journal of Respiratory and Critical Care Medicine, 166(4), 518–624. doi:10.1164/rccm.166.4.518

31. Black LF, Hyatt RE. Maximal respiratory pressures: normal values and relationship to age and sex. Am Rev Respir Dis. 1969;99(5): 696-702.

32. Stenton C. The MRC breathlessness scale. Occ Med 2008; 58:226–227.

33. Williams, N. (2017). The MRC breathlessness scale. Occupational Medicine, 67(6), 496–497. doi:10.1093/occmed/kqx086

34. Kontodimopoulos, N., Pappa, E., Niakas, D., &Tountas, Y. (2007). *Validity of SF-12 summary scores in a Greek general population. Health and Quality of Life Outcomes, 5(1), 55.* doi:10.1186/1477-7525-5-55

35. Holland, A. E., Spruit, M. A., Troosters, T., Puhan, M. A., Pepin, V., Saey, D., ... Singh,
S. J. (2014). An official European Respiratory Society/American Thoracic Society technical standard: field walking tests in chronic respiratory disease. European Respiratory Journal, 44(6), 1428–1446. doi:10.1183/09031936.00150314

36. Nathan R, Sorkenss CA, Kosinski M, Schatz M, Li JT, Marcus P, Murray JJ, Pendergraft TB. Development of the asthma control test: a survey for assessing asthma control. J Allergy Clin Immunol 2004; 113:59–65.

37. Grammatopoulou E, Stavrou N, Myrianthefs P, Karteroliotis K, Baltopoulos G, Behrakis P, Koutsouki D. Validity and reliability evidence of the Asthma Control Test—ACT in Greece. J Asthma 2011; 48:57–64

38. Gupta, N., Pinto, L. M., Morogan, A., & Bourbeau, J. (2014). The COPD assessment test: a systematic review. European Respiratory Journal, 44(4), 873–884. doi:10.1183/09031936.00025214

39. Μιχόπουλος, Ι., Καλκαβούρα, Χ., Μιχαλοπούλου, Π., Φινέτη, Κ., Καλέμη, Γ., ΨαρράΜΛ, Γουρνέλλης, Ρ., Χριστοδούλου, Χ., Δουζένης, Α., Πατάπης, Π., Πρωτόπαππας, Κ., Λύκουρας, Ε. Η κλίμακα άγχους και κατάθλιψης στο Γενικό Νοσοκομείο (HADS): Στάθμιση σε ελληνικό πληθυσμό. Ψυχιατρική 2007; 18(3):217–224

40. Michopoulos I, Douzenis A, Kalkavoura C, Christos Christodoulou C, Michalopoulou P, Kalemi G, Fineti K, Patapis P, Protopapas K, Lykouras E. Hospital Anxiety and Depression Scale (HADS): validation in a Greek general hospital sample. Annals of General Psychiatry 2008; 7:4